

**JUST GLOBAL MARKETS (PTY) LTD
FAIS UPFRONT DISCLOSURE
DOCUMENT**

Name:	Just Global Markets (Pty) Ltd
Address:	121 Beyers Naude, Roosevelt Park, Johannesburg, Gauteng, 2195, South Africa
Website:	http://justmarkets.co.za/
License number:	51114
Email:	support@justmarkets.co.za

1. COMPANY'S LEGAL STATUS

Just Global Markets (Pty) Ltd (the “FSP”) is a private company registered in the Republic of South Africa under the registration number 2020/263432/07. As a licensed Financial Services Provider in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (“FAIS Act”), the FSP accepts responsibility for the actions of its representatives, acting within their mandates, in the rendering of financial services as defined by the FAIS Act as amended or may be amended from time to time. Its representatives either meet the fit and proper requirements as prescribed by the FAIS Act or operate under appropriate supervisory procedures in accordance with the FAIS Act and are qualified to assist you in a professional manner.

The FSP acts as an intermediary in terms of the FAIS Act, rendering only an intermediary service in relation to derivative products (“CFDs”) offered by Just Global Markets Ltd. (Seychelles), which is a Securities Dealer authorized and regulated by the Seychelles Financial Services Authority (“FSA”) with the license number SD088 (i.e., the “Product Supplier” (issuer and seller)). As such, any Principal Relationship is with the Product Supplier. References to “Clients” shall mean persons having a direct agreement with the Product Supplier and who have been introduced by the FSP.

2. KEY INDIVIDUALS AND REPRESENTATIVES

Key Individuals

Name:	Authorized products
Daniel Izan De Bruin	As per table 1 below

Representatives

Name:	Authorized products
Daniel Izan De Bruin	As per table 1 below

The FSP confirms that its Key Individual(s) and Representative(s) are mandated and entitled to render intermediary services only in terms of the FAIS Act.

3. COMPLAINT HANDLING AND COMPLIANCE QUERIES

Please refer to our Complaints Handling Procedures on how to send us your complaint. Should your complaint not be adequately resolved or if you have a compliance-related query, please do not hesitate to contact our Compliance Officer:

Compliance Officer Information

Name:	Mr. Jan Hendrik Scholtz
FAIS Registration Number:	CO 6797
Company:	Compli-Serve® (Gauteng) (Pty) Ltd
Address:	65 Second Avenue, Harfield Village, 7708
Telephone:	+27 (0) 83 631 6570
Email Address:	jan@compliserve.co.za

4. FAIS OMBUD

Please note that prior to submitting a complaint with the FAIS Ombud (details below), please consider contacting us at support@justmarkets.co.za or contacting our Compliance Officer. The FSP welcomes any queries or constructive feedback regarding any dissatisfaction you may have and will gladly assist and aim to resolve it to your satisfaction.

Should confirmation be provided by the FSP that we are unable to resolve your complaint, you may pursue the matter further with the Ombud.

FAIS Ombud Details	
Physical Address:	125 Dallas Avenue Menlyn Central, Waterkloof Glen, Pretoria 0010
Postal Address:	P.O Box 74571, Lynnwood Ridge, 0040
Telephone:	012 762 5000 / 086 066 3247
Email Address:	info@faisombud.co.za

Please note that, if you wish to submit a complaint to the FAIS Ombud against the FSP or our representative(s), you will need to show that you have already attempted to resolve the matter directly with the FSP first.

5. OTHER IMPORTANT MATTERS

5.1. In terms of the Financial Intelligence Centre Act, 2001, the FSP is obliged to report any suspicious and unusual transactions that may facilitate money laundering.

5.2. It is important that you are absolutely sure that the product of the product supplier and transactions meet your needs and that you feel you have all the information you need before making a decision.

5.3. The FSP acts solely as an intermediary/direct marketer in terms of the FAIS Act and Section 15 of the FAIS General Code of Conduct, rendering only an intermediary service in relation to CFDs offered by Product Supplier. The FSP does NOT make any recommendations, it does not provide guidance, nor does it make any proposals related to financial products to Clients. The FSP does not perform any analyses of Clients' financial objectives or investment risk profiles. Clients must ensure that they are comfortable with the trading decisions they take and must contact their FAIS-approved financial advisor should they require any financial advice.

5.4. The FSP does not conduct any market making nor will it be the counterparty to your trades.

5.5. The FSP has a suitable Professional Indemnity in place in accordance with the FAIS Act.

5.6. Clients are hereby advised that no representatives of the FSP or any other person may ask you, nor in any way induce you, to waive any right or benefit conferred on you by or in terms of any provision of the FAIS Act.

5.7. The Client authorizes the FSP to access any relevant information required pertaining to the Client to enable the FSP to adequately provide the necessary intermediary services. Any Client information obtained by our representatives shall remain confidential and shall be disclosed to third parties only in accordance with our Privacy Policy.

6. FINANCIAL SERVICES AND PRODUCTS

As an Authorized Financial Services Provider, the FSP has a Category I license issued by the Financial Sector Conduct Authority ("FSCA") in terms of the FAIS Act, to provide advice and intermediary services in respect of the following financial products.

Table 1

	Financial Product	Advice	Intermediary Service	Supervision
	Category I Financial Services			
1.8	Shares	✓	✓	
1.9	Money market instruments	✓	✓	
1.10	Debentures and securitised debt	✓	✓	

11.11	Warrants, certificates and other instruments	✓	✓	
1.12	Bonds	✓	✓	
1.13	Derivative instruments	✓	✓	
1.14	Participatory interests in a collective investment scheme	✓	✓	
1.17	Long-term Deposits	✓	✓	
1.18	Short-term Deposits	✓	✓	

7. CONFLICTS OF INTEREST

In accordance with the FSP's Conflicts of Interest policy, the FSP places a high priority on its Clients' best interests. Conflicts of interest could undermine the integrity and professionalism of the FSP and its employees and any potential or recognized instance must be identified as early as possible. Potential conflicts of interest are inherent in any business and therefore, the aim of the FSP is to minimize and/or prevent, if possible, such risk. If conflicts of interest situations cannot be avoided, the FSP will manage these conflicts equitably and in the Client's best interest as an integral part of the FSP's ethos and culture. The FSP maintains an active Conflicts of Interest policy which is available on its website, free of charge.

8. CLIENT UNDERSTANDING AND CONFIRMATION

I confirm I have read this document and can download a copy of this notice for my records.